



Filing ID #10026093

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Kathy Castor  
**Status:** Member  
**State/District:** FL14

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 05/15/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Corporation (BAC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bank of America Savings [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
CL 529 ⇒ Vanguard 500 Index 529 [5P] LOCATION: FL	DC	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
CL 529 ⇒ Vanguard Small Cap Index [5P] LOCATION: FL	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
CL 529 ⇒ Vanguard Total Inter Stock [5P] LOCATION: FL	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
CL Retirement ⇒ Vanguard 500 Index Investor [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
E*Trade Savings [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Franklin Resources, Inc. (BEN) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Equity Index 500 [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price European Stock [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price Global Stock [MF]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Growth & Income [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ US Treasury Money Fund [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529 [5P]	DC	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: FL					
JL 529 ⇒ Vanguard Small Cap Index [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: FL					
JL 529 ⇒ Vanguard Total Inter Stock [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: FL					
JL Retirement ⇒ Vanguard Index 500 Fund [MF]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Spouse 401K ⇒ Oppenheimer Developing Markets A Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal International Equity Index Sep Acct [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Mid-Cap Index Fund [MF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>


Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Checking [BA]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
Wells Fargo Savings [BA]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CL 529 ⇒ Vanguard 500 Index 529 [5P]  LOCATION: FL	DC	05/29/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL 529 ⇒ Vanguard 500 Index 529 [5P]  LOCATION: FL	DC	07/10/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL 529 ⇒ Vanguard 500 Index 529 [5P]  LOCATION: FL	DC	08/15/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL 529 ⇒ Vanguard Small Cap Index [5P]  LOCATION: FL	DC	07/10/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL 529 ⇒ Vanguard Total Inter Stock [5P]  LOCATION: FL	DC	07/10/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	Bi-weekly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	06/14/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	10/17/2018	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	10/29/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	12/28/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	12/31/2018	P	\$50,001 - \$100,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	12/3/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	12/31/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	06/14/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	07/2/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	08/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	09/4/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	10/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	11/9/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	12/3/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	12/17/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	02/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒	JT	03/1/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Utilities Fund - Advisor Class [MF]					
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	03/22/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	04/2/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	05/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	06/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	06/4/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	06/7/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	06/14/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	12/3/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Utilities Fund - Advisor Class [MF]	JT	12/31/2018	S	\$100,001 - \$250,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	02/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	03/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	03/22/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	03/26/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	04/9/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	12/21/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	12/31/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	02/1/2019	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	03/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	03/22/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	04/2/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	05/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	06/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	06/4/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	06/7/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	06/18/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	07/2/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	08/1/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	12/21/2018	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	12/21/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	12/31/2018	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Equity Index 500 [MF]		12/13/2018	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Global Stock [MF]		12/18/2018	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Growth & Income [MF]		12/13/2018	P	\$1,001 - \$15,000	
JL 529 ⇒ Vanguard 500 Index 529 [5P]  LOCATION: FL	DC	01/12/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529 [5P]  LOCATION: FL	DC	07/26/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard 500 Index 529 [5P]  LOCATION: FL	DC	09/6/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Small Cap Index [5P]  LOCATION: FL	DC	07/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL 529 ⇒ Vanguard Total Inter Stock [5P]  LOCATION: FL	DC	07/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JL Retirement ⇒ Vanguard Index 500 Fund [MF]	DC	10/3/2018	P	\$1,001 - \$15,000	
JL Retirement ⇒ Vanguard Index 500 Fund [MF]	DC	11/12/2018	P	\$1,001 - \$15,000	
JL Retirement ⇒ Vanguard Index 500 Fund [MF]	DC	12/27/2018	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Oppenheimer Developing Markets A Fund [MF]	SP	12/31/2018	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	Monthly	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	12/31/2018	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	12/31/2018	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	12/31/2018	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	12/17/2018	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	12/31/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	01/4/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	03/21/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	04/2/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	04/24/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	05/25/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	06/27/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	09/25/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	12/14/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒	JT	12/13/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Mid-Cap Index Fund [MF]					
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	01/4/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	03/21/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	04/2/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	04/24/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	05/25/2018	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	12/18/2018	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Butler Weihmuller Katz Craig	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Vice President/Director	Castor-Bell-Lewis Family Foundation

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- CL 529 (Owner: DC)  
LOCATION: FL
- CL Retirement (Owner: DC)
- Franklin Templeton Joint Retirement (Owner: JT)
- IRA
- JL 529 (Owner: DC)  
LOCATION: FL
- JL Retirement (Owner: DC)  
DESCRIPTION: Julia's Vanguard Retirement Account
- Spouse 401K (Owner: SP)
- Vanguard Joint Retirement (Owner: JT)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kathy Castor , 05/15/2019